| UNITED STATES DISTRICT COURT |
|-------------------------------|
| SOUTHERN DISTRICT OF NEW YORK |

Jorge Pena

Plaintiffs, Index No.: 05cv08220 (AKH)

against NOMURA HOLDING AMERICA INC. and NOMURA SECURITIES INTERNATIONAL, INC. NOTICE OF THE NOMURA PARTIES' ADOPTION OF ANSWER TO MASTER **COMPLAINT**

et al. (see Defendants' List)

Defendants. 1:21-MC-00102

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PLEASE TAKE NOTICE that the undersigned hereby appears as attorneys for Nomura Holding America INC. and Nomura Securities International, Inc., in this action.

Dated: November 1, 2007 New York, New York

Yours, etc.

STRONGIN ROTHMAN & ABRAMS, LLP

s/ Dror M. Bikel

Dror M. Bikel, ESQ. Attorneys for Defendant NOMURA HOLDING AMERICA, INC. 50 Broadway, Suite 2003 New York, NY 10004 (212) 931-8300

TO:

SEE ATTACHED SERVICE LIST

SERVICE LIST

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